OCT 19 2005

substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	6

	Complete If Known	
Application Number	09/723,713	
Filing Date	November 27, 2000	
First Named Inventor	Schenk, Dale B. 1632	
Art Unit Examiner Name	Wehbe, A. M. S.	
Attorney Docket Number	15270J-004741US	

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		Document Number			<u> </u>				
Examiner Initials*	Cite No.1	Number Kind Code	² (if known)		Publication Date MM-DD-YYYY		of Patentee or of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
\mathcal{N}	578	6,875	,434	04-05-20	05	S	chenk		
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	576	6,818	,218	11-16-20	04	S	chenk		
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	642	5,702	,906	12-30-19	97	Ro	senthal		
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		Fon	eign Patent Docu				٠	Pages, Columns, Lines,	T
Examiner Initials*	Cite No.1	Country Code ³	Number ⁴	Kind Code ^k (<i>ili known</i>)		cation Date DD-YYYY	Name of Patentee Applicant of Cite Document		T ⁶
	585	wo	04/08041	19 A2	09-	23-2004			
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V	631	JP	62-26729	97 A	11-	19-1987			abst. only
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Examiner Signature	W	Date Considered	6	06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.usplc.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precade the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. ⁸ 60612115

Substitute for	form 1449B/P	то		Complete if Known			
MEAD		N DIO	OL OCUPE	Application Number	09/723,713		
INFORMATION DISCLOSURE				Filing Date	November 27, 2000		
STATE	STATEMENT BY APPLICANT			First Named Inventor	Schenk, Dale B.		
				Art Unit	1632		
(us	(use as many sheets as necessary)		Examiner Name	Wehbe, A. M. S.			
Sheet	2	of	6	Attorney Docket Number	15270J-004741US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
0	588	ANDERSON, J. P., "Exact cleavage site of Alzheimer amyloid precursor in neuronal PC-12 cells," Neuroscience Letters, 128(1):126-128 (1991).	
	589	ANDERSON, M. W., "Amending the amyloid hypothesis," The Scientist, 18(20):28-29 (2004).	
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	639	Chimicon International, "Mouse Anti-Amyloid Beta Protein Monoclonal Antibody," Catalog # MAB1561	
	591	CHROMY et al., "Self-assembly of AB(1-42) into globular neurotoxins," <u>Biochemistry</u> , 42(44):12749-12760 (2003).	
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	594	DEMATTOS et al., "Brain to plasma amyloid-\$\beta\$ efflux: a measure of brain amyoid burden in a mouse model of Alzheimer's disease," Science , 295(5563):2264-2267 (2002).	
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V	598	DUFF et al., "Increased amyloid-\$42(43) in brains of mice expressing mutant presentiin 1," Nature, 383(6602):710-713 (1996).	

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Examiner Signature	NUT	Date Considered \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	or form 1449B/F	गठ		Complete if Known			
			001105	Application Number	09/723,713		
	_		OSURE	Filing Date	November 27, 2000		
STATE	MENT	BY APP	LICANT	First Named Inventor	Schenk, Dale B.		
				Art Unit	1632		
(us	se as many s	heets as nec	essary)	Examiner Name	Wehbe, A. M. S.		
Sheet	3	of	6	Attorney Docket Number	15270J-004741US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	599	ERIKSEN et al., "NSAIDs and enantiomers of flurbiprofen target γ-secretase and lower Aβ42 in vivo," <u>J. Clin. Invest.</u> , 112(3):440-449 (2003).	
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	635	Genbank Accession number CAA46659, "IgE antibody light chain(VJ)," 06/15/93.	
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Examiner Signature	Date Considered \	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for	form 1449B/F	ото		Complete if Known			
				Application Number	09/723,713		
			CLOSURE	Filing Date	November 27, 2000		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Schenk, Dale B.		
				Art Unit	1632		
(us	(use as many sheets as necessary)			Examiner Name	Wehbe, A. M. S.		
Sheet	4	of	6	Attorney Docket Number	15270J-004741US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
10°	607	HOCK et al., "Generation of antibodies specific for β-amyloid by vaccination of patients with Alzheimer disease," Nat. Med., 8(11):1270-1275 (2002).	
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	640	JUNG et al., "Alzheimer's Beta-amyloid Precursor Protein Is Expressed on the Surface of Immediately Ex Vivo Brain Cells: a Flow Cytometric Study," <u>J. Neurosci. Res.</u> , 46:336-348 (1996).	
	628	KOFLER et al., "Mechanism of Allergic Cross-Reactions-III. cDNA Cloning and Variable-Region Sequence Analysis of Two IgE Antibodies Specific for Trinitrophenyl," Mol. Immunology, 29(2):161-166 (1992).	
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V/	614	PAGANETTI et al., "Amyloid precursor protein truncated at any of the γ-secretase sites is not cleaved to β-amyloid," J. Neurosci. Res., 46(3):283-293 (1996).	

Examiner Signature	Date Considered	1/6/010

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449B/F	то		Complete if Known		
MIFOR	384 A TIO	N DIGGI	COURT	Application Number	09/723,713	
			LOSURE	Filing Date	November 27, 2000	
STAT	EMENT	BY APP	LICANT	First Named Inventor	Schenk, Dale B.	
ĺ				Art Unit	1632	
(u:	se as many s	heets as ne	cessary)	Examiner Name	Wehbe, A. M. S.	
Sheet	5	of	6	Attorney Docket Number	15270J-004741US	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
W	PALLITTO et al., "Recognition sequence design for peptidyl modulators of β-amyloid aggregation and toxicity," <u>Biochemistry</u> , 38(12):3570-3578 (1999).					
1	PFEIFER et al., "Cerebral hemorrhage after passive anti-Aβ immunotherapy," Science, 298(5597):1379 (2002).					
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626 "Researchers Develop Blood Test to Diagnose Alzheimer's- Type Changes in Mice," downloaded from www.businesswire.com on 12/15/04.						
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	629	SEIDL et al., "Predominant V _H genes expressed in innate antibodies are associated with distinctive antigen-binding sites," <u>PNAS</u> , 96:2262-2267 (1999).				
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Examiner		1	Date Considered	61	186

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for	form 1449B/F	то		Complete if Known		
WIE OF			LOCUEE	Application Number	09/723,713	
			LOSURE	Filing Date	November 27, 2000	
STATEMENT BY APPLICANT				First Named Inventor	Schenk, Dale B.	
				Art Unit	1632	
(use as many sheets as necessary)				Examiner Name	Wehbe, A. M. S.	
Sheet	6	of	6	Attorney Docket Number	15270J-004741US	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
NO.	624	WASHINGTON UNIVERSITY IN ST. LOUIS SCHOOL OF MEDICINE, "Study gives Clues to Working of Anti-Alzheimer Antibody," downloaded from www.medicine.wustl.edu/~wumpa/news on 12/15/04.			
	625	WHITE et al., "Immunotherapy as a therapeutic treatment for neurodegenerative disorders," <u>J. Neurochem.</u> , 87(4):801-808 (2003).			
	580	ZLOKOVIC et al., "Glycoprotein 330/megalin: probable role in receptor-mediated transport of apolipoprotein J alone and in a complex with Alzheimer disease amyloid beta at the blood-brain and blood-cerebrospinal fluid barriers," PNAS, 93(9):4229-4334 (1996) abstract only.			
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Examiner Signature	NUK	Date Considered	16106

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ss Mail" Label No. <u>EV 732 173 514 US</u> Deposit y certify that this is being deposited with the United States Postal e "Express Mail Post Office to Addressee" service under 37 CFR on the date indicated above and is addressed to: Commissioner for Patents P.O. Box 1450 Alexandria

Attorney Docket No.: 15270J-004741US Client Reference No.: 209-US-CIP5C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk

Application No.: 09/723,713

Filed: November 27, 2000

For: PREVENTION AND TREATMENT OF AMYLOIDOGENIC DISEASE

Examiner: Anne Marie Sabrina Wehbe

Art Unit: 1632

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37**

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the non U.S. Patent references can be found in Application No. 09/322,289, filed May 28, 1999 (Attorney Docket No. 15270J-004740US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also cites commonly owned copending applications directed to related subject matter:

09/201,430 filed 11/30/98 issued as U.S. 6,787,523 on 09/07/04;



Application No.: 09/723,713

Page 2



09/497,553 filed 02/03/00; 09/724,477 filed 11/28/00 issued as U.S. 6,787,143 on 09/07/04; 09/723,927 filed 11/28/00 issued as U.S. 6,787,138 on 09/07/04; 09/723,762 filed 11/28/00 issued as U.S. 6,787,144 on 09/07/04; 09/724,102 filed 11/28/00 issued as U.S. 6,787,139 on 09/07/04; 09/724,489 filed 11/28/00 issued as U.S. 6,787,140 on 09/07/04; 10/816,022 filed 03/31/04 issued as U.S. 6,866,850 on 03/15/05; 10/816,529 filed 03/31/04 issued as U.S. 6,818,218 on 11/16/04; 10/815,391 filed 03/31/04 issued as U.S. 6,866,849 on 03/15/05; 10/934,818 filed 09/02/04; 10/934,609 filed 09/02/04 issue fee paid 01/24/05; 10/933,559 filed 09/02/04 issue fee paid 01/24/05; 10/934,819 filed 09/02/04; 10/815,353 filed 03/31/04 issued as U.S. 6,808,712 on 10/26/04; 10/816,380 filed 03/31/04 issue fee paid 01/24/05; 10/815,404 filed 03/31/04 issue fee paid 11/12/04; 09/322,289 filed 05/28/99; 09/723,713 filed 11/27/00; 09/723,760 filed 11/27/00; 09/724,319 filed 11/27/00; 09/723,384 filed 11/27/00 issued as U.S. 6,710,226 on 03/23/04; 09/724,495 filed 11/27/00; 10/788,666 filed 02/27/04; 10/429,216 filed 05/30/03; 10/828,548 filed 04/19/04; 10/923,471 filed 08/20/04; 10/923,474 filed 08/20/04; 10/928,926 filed 08/27/04; 10/923,605 filed 08/20/04;

10/923,267 filed 08/20/04;

Application No.: 09/723,713

Page 3



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10/923,469 filed 08/20/04;
09/580,015 filed 05/26/00;
09/724,940 filed 11/28/00 issue fee paid 11/12/04;
09/724,961 filed 11/28/00 issued as U.S. 6,743,427 on 06/01/04;
09/580,018 filed 05/26/00 issued as U.S. 6,761,888 on 07/13/04;
09/724,552 filed 11/28/00 issued as U.S. 6,750,324 on 06/15/04;
09/723,544 filed 11/28/00;
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09/724,288 filed 11/28/00;
10/777,792 filed 02/11/04;
10/890,024 filed 04/12/04;
10/889,999 filed 04/12/04;
10/890,000 filed 07/12/04;
10/890,070 filed 07/12/04;
10/890,071 filed 07/12/04;
09/580,019 filed 05/26/00;
09/723,765 filed 11/28/00;
09/724,291 filed 11/28/00;
10/822,968 filed 04/12/04;
09/724,929 filed 11/28/00;
09/724,567 filed 11/28/00 issued as U.S. 6,890,535 on 05/10/05;
09/724,575 filed 11/28/00;
09/724,953 filed 11/28/00 issued as U.S. 6,875,434 on 04/05/05;
09/724,570 filed 11/28/00 issue fee paid 02/10/05;
09/585,656 filed 06/01/00;
09/723,766 filed 11/27/00;
09/723,725 filed 11/27/00;
09/579,690 filed 05/26/00;
09/979,701 filed 03/13/01 (U.S. Nat'l Stage of PCT/US00/14810 filed 05/26/00);
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Page 4

09/979,952 filed 04/09/02 (U.S. Nat'l Stage of PCT/US00/15239 filed 06/01/00); issued as U.S. 6,913,745 on 07/05/05; and,

09/980,568 filed 03/12/02 (U.S. Nat'l Stage of PCT/US00/15302 filed 06/01/00).

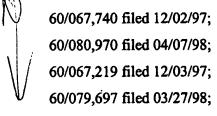
Applicant also cites the following copending applications directed to related

subject matter but subject to different assignment:



10/010,942 filed 12/06/01; 10/789,273 filed 02/27/04; 10/232,030 filed 08/30/02; 10/010,942 filed 12/06/01; 10/388,389 filed 03/12/03; 10/703,713 filed 11/07/03; 10/704,070 filed 11/07/03; 10/388,214 filed 03/12/03; 10/858,855 filed 06/01/04; 10/771,174 filed 02/04/04. 60/622,525 filed 10/26/04; 60/636,684 filed 12/15/04; 60/636,842 filed 12/15/04; 60/637,253 filed 12/16/04; 60/636,810 filed 12/15/04; 60/637,138 filed 12/16/04; 60/636,776 filed 12/15/04; 60/636,687 filed 12/15/04.

Applicant further cites the following commonly owned abandoned applications directed to related subject matter:



Application No.: 09/723,713

Page 5

09/204,838 filed 12/03/98;

09/724,921 filed 11/28/00;

09/724,929 filed 11/28/00;

60/137,010 filed 06/01/99;

60/251,892 filed 12/06/00;

60/137,047 filed 06/01/99;

60/136,655 filed 05/28/99; and

09/723,544 filed 11/28/00.

Applicant also cites the following abandoned application directed to related subject matter but subject to different assignment:



60/251,892 filed 12/06/00;

60/474,654 filed 05/30/03;

60/530,481 filed 12/17/03;

60/444,150 filed 02/01/03; and,

60/363,751 filed 03/12/02.

Applicant points out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:



60/067,219 filed 12/03/97;

60/079,697 filed 03/27/98;

09/204,838 filed 12/03/98;

09/724,921 filed 11/28/00; and,

09/724,929 filed 11/28/00.

Applicant understands the Examiner can access papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner has difficulty obtaining papers from that source he or she is invited to call the undersigned who will be happy to supply them.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant

Application No.: 09/723,713

Page 6

information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JOL:crf 60612115 v1